

Electronic Acknowledgement Receipt

EFS ID:	1434296
Application Number:	09955394
International Application Number:	
Confirmation Number:	1548
Title of Invention:	Enhancing utility and diversifying model risk in a portfolio optimization framework
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part/.zip	Pages (if appl.)
1		Response_Restriction_Requirement.pdf	41279	yes	4
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Response to Election / Restriction Filed		1	1	
	Applicant Arguments/Remarks Made in an Amendment		2	4	

Warnings:

Information:

2	Power of Attorney	POA.pdf	424947	no	1
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Warnings:

Information:

3	Assignee showing of ownership per 37 CFR 3.73(b).	Statement_.pdf	215159	no	1
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Warnings:

Information:

Total Files Size (in bytes):	681385
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.